

PATENT 2711

Docket No.: 3606-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Gillin, Korfmann and Raden

Group Art: 2761

Serial No. : 09/188,810

Examiner: To be Assigned

Filed : November 9, 1998

For : TRANSFER INSTRUMENT

Assistant Commissioner For Patents
Washington, D.C. 20231

Attention: Refund Section, Accounting
Division, Office of Finance

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Group 2700

COMPLETED

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Request for Refund Under 37 C.F.R. §1.28(a)

Sir:


Attached is a Verified Statement (Declaration) Claiming Small Entity Status (37 C.F.R. §1.9(f) and §1.27(b)) – Small Business Concern. This request for refund is being made within two months of the date (**November 9, 1998**) a filing fee in the amount of **\$2,034.00** was paid in this application. Based on applicant's small entity status, a refund of **\$ 1,017.00** is requested. Please refund **\$1,017.00** by crediting Deposit Account No.: 13-4500, Order No.: 3606-4000. A duplicate of this Request is enclosed.

Please contact the undersigned at the telephone number below if there are any questions regarding this matter.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: January 6, 1999

By:


Richard Straussman
Reg. No. 39,847

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New York, New York 10154
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PATENT

Docket No. 3606-4000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) or Patentee(s): Gillin, Korfmann and Raden

Group Art Unit: 2761

Serial No. or Patent No. : 09/188,810

Examiner: To Be Assigned

Filed or Issued : November 9, 1998

For : TRANSFER INSTRUMENT

STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
37 CFR §1.97(f) AND §1.27 (c) – SMALL BUSINESS CONCERN

I hereby state that I am

- ☐ the owner of the small business concern identified below:
- ☒ an official of the small business concern empowered to act on behalf of the concern identified below

NAME OF CONCERN C/BASE, INC.

ADDRESS OF CONCERN Lee Park, Suite 457, 1100 E. Hector Street, Conshohocken, PA 19428

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR §§ 121.3-18, and reproduced in 37 CFR § 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I hereby state that exclusive rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled:

TRANSFER INSTRUMENT

by inventor(s)

Matthew J. Gillin, Roger Korfmann and Paul L. Raden

described in

- ☐ the specification filed herewith
- ☒ application Serial No. 09/188,810, filed November 9, 1998
- ☐ Patent No. _____, issued _____

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DIVISION
JUN 12 AM 10:32

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

NAME _____

ADDRESS _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

NAME _____

ADDRESS _____
☐ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. 1.28(b))

NAME OF PERSON SIGNING Matthew J. GillinTITLE OF PERSON IF OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING

1220 Wendover Ave. Rosemont PA 19010SIGNATURE Matthew J. Gillin DATE 12/31/98

FORM: SMALL.BUS
Rev. 05/26/98

* NOTE: Separate statements are required from each name person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

Adjust Transactions

Search by

☐ Accounting Date:

(MMDDYY)

Operator ID:

Accounting Date

Start:

End:

☒ Name/Number:

09188810

☐ Attny Docket No:☐ Deposit Account No.:

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Attny Dckt
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02/18/1999	SBURNS	152	SALE	581	A	40.00	09188810	3606-4000
11/17/1998	DTHOMAS	50	SALE	103	A	506.00	09188810	3606-4000
11/17/1998	DTHOMAS	49	SALE	102	A	738.00	09188810	3606-4000
11/17/1998	DTHOMAS	48	SALE	101	A	790.00	09188810	3606-4000

OK refund 506.00
OK refund 738.00
OK refund 790.00
3606-4000
Jlc



Repln. Ref: 03/18/1999 FCONNELL 0015193100
DAN:134500 Name/Number:09188810
FC: 704 \$410.00 CR

Adjustment date: 03/18/1999 FCONNELL
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03/18/1999 FCONNELL 00000003 09188810

01 FC:201 380.00 OP

Adjustment date: 03/18/1999 FCONNELL
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02 FC:102 -738.00 OP

03/18/1999 FCONNELL 00000005 09188810

01 FC:203

252.00 OP

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Repln. Ref: 03/18/1999 FCONNELL 0015390900
DAN:134500 Name/Number:09188810
FC: 704 \$348.00 CR

Adjustment date: 03/18/1999 FCONNELL
11/17/1998 DTHOMAS 00000030 09188810
03 FC:103 -506.00 OP

Repln. Ref: 03/18/1999 FCONNELL 0015424300
DAN:134500 Name/Number:09188810
FC: 704 \$254.00 CR